SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

3235-0104 OMB Number:

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Reporting Person <sup>*</sup> Li Jianwei			of Event ng Statemen /Day/Year) /2021	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>TradeUP Global Corp</u> [ TUGC ]							
<ul> <li>(Last) (First) (Middle)</li> <li>C/O TRADEUP GLOBAL</li> <li>CORPORATION</li> <li>437 MADISON AVENUE, 27TH</li> <li>FLOOR</li> </ul>				4. Relationship of Reporting Person(s) to Issuer (Check all applicable)         X       Director       X       10% Owner         X       Officer (give title below)       Other (specify below)         Chairman and Co-CEO			<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting</li> </ul>				
(Street) NEW YORK	Y 10022							Person X Form filed by More than One Reporting Person			
(City) (Si	tate) (Zip)		lon Doriv	ativo Socuritios Por	nofio		unod				
1. Title of Security (Instr. 4)				Beneficially Owned (Instr. 4) Form: (D) or		3. Owne Form: D (D) or In (I) (Instr.	ership 4. Na Direct Owne ndirect		ture of Indirect Beneficial ership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4) 2. D. Exp		2. Date Exer Expiration D (Month/Day/	cisable and ate	3. Title and Amount of	3. Title and Amount of Securities Jnderlying Derivative Security (Instr.		4. Conversion or Exercise Price of		5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr.	
		Date Exercisable	Expiration Date	Title		ount or ober of res	Deriva Securi	tive	or Indirect (I) (Instr. 5)	5)	
Class B Common Stock		(1)	(1)	Class A Common Stock	1,09	1,090,000 <sup>(2)</sup>		)	I	See footnote <sup>(3)</sup>	
1. Name and Addr Li Jianwei	ess of Reporting Perso	n*		3			3		,	*	
(Last)(First)(Middle)C/O TRADEUP GLOBAL CORPORATION437 MADISON AVENUE, 27TH FLOOR											
(Street) NEW YORK NY 1002		10022									
(City)	(State)	(Zip)									
1. Name and Address of Reporting Person* TradeUP Global Sponsor LLC											
(Last)(First)(Middle)C/O TRADEUP GLOBAL CORPORATION437 MADISON AVENUE, 27TH FLOOR											
(Street) NEW YORK	NY	10022									
(City)	(State)	(Zip)									

## **Explanation of Responses:**

1. The Class B ordinary shares will automatically convert into the Issuer's Class A ordinary shares at the time of the Issuer's initial business combination on a one-for-one basis, subject to adjustment pursuant to certain anti-dilution rights, and has no expiration date.

2. Includes up to 150,000 shares of Class B Common Stock that are subject to forfeiture if the underwriters in the Issuer's initial public offering of common stock do not exercise their over-allotment option in full or in part.

3. TradeUP Global Sponsor LLC is the record holder of the shares reported herein. Jianwei Li is the manager of TradeUP Global Sponsor LLC. As such. Mr. Li may be deemed to have beneficial ownership of the Class B ordinary shares held directly by TradeUP Global Sponsor LLC. Mr. Li disclaims beneficial ownership over any securities owned by our sponsor in which he does not have any pecuniary interest.

<u>/s/ Jianwei Lei</u>	<u>04/28/2021</u>
<u>/s/ Jianwei Li, Title:</u> <u>Manager</u>	<u>04/28/2021</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.